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Date: July 24, 2001

Name: Lisa M. Davis

Signature: *Lisa M. Davis*

*Clifford Chance Rogers & Wells LLP*

Docket No. 3499-82

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Andrew J.R. Smith et al.

Filed: May 31, 2001

Group Art Unit: TBA

Serial No: 09/870,811

Examiner: TBA

For:

METHOD AND SYSTEM FOR PROCESSING FINANCIAL DATA  
OBJECTS CARRIED ON BROADCAST DATA STREAMS AND  
DELIVERING INFORMATION TO SUBSCRIBING CLIENTS

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to Examination of the above-identified patent application, please ~~amend~~ the claims as follows: Full versions of the claims, as amended are also attached hereto.

In claim 40, line 1, ~~change~~--40-- to "39"

In claim 42, line 1, ~~change~~--42-- to "41"

In Claim 44, line 1, ~~change~~--44-- to "43"

The claims have been amended to correct dependency errors which have come to the attention of the applicants. Entry of this Amendment is respectfully requested.

Date: July 24, 2001

Respectfully submitted,

*Mitchell S. Feller*  
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